IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

SECURITIES AND EXCHANGE	§	
COMMISSION,	§	
	§	
Plaintiff,	§	
	§	
v.	§	Case No. 3:09-CV-0298-N
	§	
STANFORD INTERNATIONAL BANK,	§	
LTD., ET AL.,	§	
	§	
Defendants.	§	

RECEIVER'S AND EXAMINER'S THIRD JOINT ADVISORY TO THE COURT REGARDING PENDING MOTIONS

Receiver Ralph S. Janvey (the "Receiver") and Examiner John J. Little (the "Examiner") hereby respectfully advise the Court that the following motions, pending in cases filed by the Receiver or the Official Stanford Investors Committee ("OSIC"), are now fully briefed and are awaiting ruling by the Court. For the Court's convenience, the Receiver and Examiner have further set forth their understanding of which matters are pending in the District Court and which matters have been referred to Magistrate Judge Frost. The Receiver and Examiner will continue to provide the Court with periodic updates to this advisory in fulfillment of their respective duties to keep the Court and investors informed regarding matters pertinent to this civil action.

	Motions for Summary Judgment							
	Motions for Summary Judgment Pending in District Court							
	Date Filed	Case No.	Case Name	<u>Doc.</u> <u>No.</u>	Motion	Case on Appeal/Stayed?		
1.	February 10, 2012	3:10-cv- 1973	Janvey v. Dillon Gage Inc. of Dallas et al.	26	Receiver's Motion for Summary Judgment Against Dillon Gage			

	Motions to Dismiss Receiver's or OSIC's Complaint								
	Motions to Dismiss Receiver's or OSIC's Complaint Pending in District Court								
	Date Filed	Case No.	<u>Case Name</u>	<u>Doc.</u> <u>No.</u>	<u>Motion</u>	Case on Appeal/Stayed?			
2.	May 26, 2010	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	28	HSBC's Motion to Dismiss for Lack of Personal Jurisdiction and Failure to State a Claim				
3.	May 26, 2010	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	32	Societe Generale Private Banking (Suisse) S.A.'s Motion to Dismiss for Lack of Personal Jurisdiction and Failure to State a Claim				
4.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	154	Bank of Houston's Motion to Dismiss the Intervenor Complaint				
5.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	155	HSBC's Motion to Dismiss the Intervenor Complaint				
6.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	157	Societe Generale Private Banking (Suisse) S.A.'s Motion to Dismiss the Intervenor Complaint				

7.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	159	Toronto-Dominion Bank's Motion to Dismiss the Intervenor Complaint	
8.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	160	Blaise Friedli's Motion to Dismiss for Lack of Personal Jurisdiction and Failure to State a Claim	
9.	July 10, 2013	3:09-cv- 2384	Rotstain et al. v. Trustmark National Bank et al.	162	Trustmark National Bank's Motion to Dismiss the Intervenor Complaint	
10.	July 21, 2011	3:11-cv- 0329	The Official Stanford Investors Committee et al. v. Breazeale Sachse & Wilson LLP et al.	22	Adams and Reese LLP and James Austin's Motion to Dismiss Plaintiffs' First Amended Complaint	
11.	July 21, 2011	3:11-cv- 0329	The Official Stanford Investors Committee et al. v. Breazeale Sachse & Wilson LLP et al.	24	Michael Contoro's Motion to Dismiss Plaintiffs' First Amended Class Action Complaint	
12.	September 30, 2011	3:11-cv- 0329	The Official Stanford Investors Committee et al. v. Breazeale Sachse & Wilson LLP et al.	39	Motion to Dismiss Plaintiffs' Complaint, Filed by Defendant Louis Fournet	
13.	June 26, 2012	3:11-cv- 0329	The Official Stanford Investors Committee et al. v. Breazeale Sachse & Wilson LLP et al.	68	Breazeale, Sachse & Wilson LLP's Motion to Dismiss Plaintiffs' First Amended Complaint	

14.	June 29, 2012	3:11-cv- 0329	The Official Stanford Investors Committee et al. v. Breazeale Sachse & Wilson LLP et al.	71	Claude Reynaud's Motion to Dismiss Plaintiffs' First Amended Complaint	
15.	July 29, 2011	3:11-cv- 0298	The Official Stanford Investors Committee v. Cort & Cort et al.	52	Cort & Cort's Motion to Dismiss for Lack of Personal Jurisdiction	
16.	August 21, 2012	3:10-cv- 2584	Janvey v. Alvarado	30	Defendant Pablo M. Alvarado's Motion to Dismiss Receiver's Complaint	
17.	November 27, 2012	3:10-cv- 0527	Janvey v. Barnes et al.	49	Third-Party Defendants Capital Counsel, LLC, Cauthen Forbes & Williams, LLC, and Robert Mitchell Delk's Motion to Dismiss Restated Third- Party Complaint for Failure to State a Claim Pursuant to Fed. R. Civ. P. 12(b)(6)	
18.	March 14, 2013	3:10-cv- 0527	Janvey v. Barnes et al.	68	Third-Party Defendant Chesapeake Enterprises, Inc.'s Motion to Dismiss Restated Third-Party Complaint for Failure to State a Claim Pursuant to Fed. R. Civ. P. 12(b)(6)	
19.	November 30, 2012	3:11-cv- 0760	Janvey v. Insideout Sports & Entertainment	23	Motion to Dismiss Plaintiff's First Amended Complaint	
20.	December 3, 2012	3:11-cv- 0299	Janvey et al. v. Castaneda	22	Defendant's Motion to Dismiss the Complaint	
21.	January 3, 2013	3:11-cv- 1177	Janvey v. Libyan Investment Authority et al.	136	Defendants' Motion to Dismiss the Second Amended Complaint	

22.	February 21, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	27	Greenberg Traurig's Motion for Partial Dismissal and, Subject Thereto, Original Answer	
23.	April 15, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	49	Defendant Hunton and Williams, LLP's Motion to Dismiss	
24.	May 10, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	56	Defendant Yolanda Suarez's Motion to Dismiss	
25.	March 21, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	6	Defendant Pablo M. Alvarado's Motion to Dismiss Receiver's Complaint	
26.	May 5, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	10	Defendant Osvaldo Pi's Motion to Dismiss Receiver's Complaint	
27.	May 6, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	15	Defendant Jack Staley's Motion to Dismiss Receiver's Complaint	
28.	June 7, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	21	Defendant Luis Garcia's Motion to Dismiss Receiver's Complaint	
29.	June 14, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	25	Defendant Suzanne Hamm's Motion to Dismiss Receiver's Complaint	
30.	June 25, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	28	Defendant Glen Rigby's Motion to Dismiss Receiver's Complaint	
31.	August 22, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	63	Defendant David Nanes's Motion to Dismiss Receiver's Complaint	
32.	September 3, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	64	Defendant Patricia Maldonado's Motion to Dismiss Receiver's Complaint	

33.	May 22, 2013 July 29, 2013	3:10-cv- 0366 3:11-cv- 1659	Janvey v. Venger et al. Janvey et al. v. Rincon	359 17	Defendant Maria Quintero de Peralta's Motion to Dismiss Complaint Against Certain Stanford Investors Defendant Juan Alberto Rincon's Motion to Dismiss	Case currently on appeal.				
	Pending Motions to Dismiss Receiver's or OSIC's Complaint									
			Referred to Ma							
35.	September 3, 2010	3:10-cv- 0829	Janvey v. Interim Executive Management, Inc.	9	Defendant's Motion to Dismiss Receiver's Original Complaint Pursuant to Rules 8, 9(b), and 12(b)(6) of the Federal Rules of Civil Procedure					
36.	September 10, 2010	3:10-cv- 1002	Janvey v. Fernandez et al.	32	Edward S. Rubin Estate and Robert Rubin's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors	Case currently on appeal.				
37.	April 6, 2011	3:10-cv- 1002	Janvey v. Fernandez et al.	57	Defendant R. Glen Sherrill, Jr.'s Motion to Dismiss	Case currently on appeal.				
38.	May 27, 2011	3:10-cv- 1002	Janvey v. Fernandez et al.	85	Sharon Jean Marable's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors	Case currently on appeal.				
39.	June 9, 2011	3:10-cv- 1002	Janvey v. Fernandez et al.	93	Ron F. Bearden's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors And, Subject Thereto, Original Answer and Denials	Case currently on appeal.				
40.	June 9, 2011	3:10-cv- 1002	Janvey v. Fernandez et al.	94	Sino US Catering Development Company, Ltd's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors	Case currently on appeal.				

41.	September 24, 2010	3:10-cv- 0366	Janvey v. Venger et al.	60	Eduardo A. Najera and Jennifer M. Najera's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors	Case currently on appeal.
42.	December 7, 2010	3:10-cv- 0366	Janvey v. Venger et al.	75	Michael E. Staid's Motion to Dismiss Receiver's Complaint Against Certain Stanford Investors	Case currently on appeal.
43.	April 4, 2011	3:10-cv- 0366	Janvey v. Venger et al.	92	Omar Rodriguez Rosales' Motion to Dismiss and, In the Alternative, Motion for a More Definite Statement	Case currently on appeal.
44.	April 4, 2011	3:10-cv- 0366	Janvey v. Venger et al.	93	Motion to Dismiss by Defendants Donald J. Sherrill and Susan M. Sherrill	Case currently on appeal.
45.	April 13, 2011	3:10-cv- 0366	Janvey v. Venger et al.	104	Motion to Dismiss by Defendants Moore, Moore and Moore, LLC, Namada Investment Group L.P., and Sococo Ltda	Case currently on appeal.
46.	May 2, 2011	3:10-cv- 0366	Janvey v. Venger et al.	128	Defendants Dale Wilson and Judy Ann Wilson's Defenses, Motions to Dismiss, and Answer	Case currently on appeal.
47.	May 9, 2011	3:10-cv- 0366	Janvey v. Venger et al.	129	Defendant Nathan Allen Moore's Motion to Dismiss	Case currently on appeal.
48.	February 3, 2011	3:10-cv- 1394	Janvey v. Wieselberg et al.	14	Defendant Pedro Rodriguez's Motion to Dismiss	
49.	February 16, 2011	3:10-cv- 1394	Janvey v. Wieselberg et al.	15	Gene B. Ramirez's Motion to Dismiss (with Supporting Memorandum) Receiver's Complaint Against Certain Former Stanford Employees Who Invested in SIBL CDs	

50.	March 7, 2011	3:10-cv- 1394	Janvey v. Wieselberg et al.	23	Defendants Ronald Wieselberg, Monica A. Cespedes, Elsie H. Lecusay, and Ricardo Cobiella's Motion to Dismiss Receiver's Complaint Against Certain Former Stanford Employees who Invested in SIBL CDs Pursuant to Federal Rules of Civil Procedure 12(b)(2), 12(b)(6), and 9(b) and to Strike the Receiver's Claim for Attorney's Fees and Costs	
51.	April 13, 2011	3:09-cv- 0724	Janvey v. Alguire et al.	577	Luis Hermosa's Motion to Dismiss Under Fed Rule Civ Pro 12 (b)(4) and (5) and Joinder in Defendants' Motion to Dismiss Receiver's Second Amended Complaint Against Stanford Former Employees	
52.	April 21, 2011	3:11-cv- 0303	The Official Stanford Investors Committee v. American Lebanese Syrian Associated Charities, Inc. et al.	12	Defendants St. Jude's Children's Research Hospital, Inc.'s and American Lebanese Syrian Associated Charities, Inc. Motion to Dismiss	
53.	June 3, 2011	3:11-cv- 0303	The Official Stanford Investors Committee v. American Lebanese Syrian Associated Charities, Inc. et al.	22	Defendant Le Bonheur Children's Medical Center Foundation Motion to Dismiss	

54.	April 25, 2011	3:10-cv- 2583	Janvey v. Bogar et al.	13	Defendants Daniel T. Bogar and Brandilyn D. Bogar's Motion to Dismiss Plaintiffs' First Amended Complaint	
55.	May 9, 2011	3:11-cv- 0301	Janvey et al. v. Brown	8	Defendant's Second Motion to Dismiss	
56.	May 17, 2011	3:11-cv- 0018	Janvey et al. v. Toms et al.	9	Defendants David Wayne Toms and David Toms Golf, LLC's Motion to Dismiss	Case currently stayed.
57.	June 6, 2011	3:11-cv- 0158	Janvey et al. v. Miami Heat Limited Partnership et al.	18	Defendants Miami Heat Limited Partnership's and Basketball Properties Ltd.'s Motion to Dismiss Amended Complaint	
58.	July 18, 2011	3:11-cv- 0226	Janvey, et al. v. PGA Tour Inc.	13	Defendant PGA Tour, Inc.'s Motion to Dismiss Pursuant to Rules 12(b)(1) and 12(b)(6)	
59.	September 28, 2011	3:11-cv- 0770	Janvey et al. v. Rocketball, Ltd. et al.	11	Defendant Rocketball, Ltd's Motion to Dismiss Plaintiffs' Amended Complaint	
60.	October 21, 2011	3:11-cv- 0770	Janvey et al. v. Rocketball, Ltd. et al.	13	Defendant Hoops, L.P.'s Motion to Dismiss Plaintiffs' Amended Complaint	
61.	November 4, 2011	3:11-cv- 0295	Janvey et al. v. ATP Tour Inc.	16	Defendant ATP Tour Inc.'s Motion to Dismiss Pursuant to Rules 12(b)(1) and 12(b)(6)	
62.	November 30, 2012	3:10-cv- 1465	Janvey v. Merge Healthcare, Inc.	32	Defendants Merge Healthcare, Inc., Amicas, Inc., and Emageon, Inc.'s Motion to Dismiss Plaintiffs' Second Amended Complaint	

63.	March 5, 2013	3:10-cv- 1465	Janvey v. Merge Healthcare, Inc.	45	Motion to Dismiss the Receiver's Second Complaint	
64.	May 24, 2013	3:10-cv- 1955	Janvey v. Tonarelli	25	Motion to Dismiss First Amended Complaint, and, in the Alternative, to Compel Arbitration	

Other Motions

Other Motions Pending in District Court

	Date Filed	Case No.	Case Name	<u>Doc.</u> <u>No.</u>	Motion	Case on Appeal/Stayed?
65.	February 3, 2011	3:10-cv- 1394	Janvey v. Wieselberg et al.	13	Receiver's Motion to Dismiss and Original Answer to Thomas L. Gourlay's Counterclaims	
66.	February 17, 2011	3:10-cv- 1394	Janvey v. Wieselberg et al.	16	Receiver's Motion to Dismiss and Original Answer to Cineyris J. Davila's Counterclaims	
67.	February 28, 2011	3:09-cv- 0724	Janvey v. Alguire et al.	559	Receiver's Motion to Strike and to Dismiss Former Employees' Counterclaim to Receiver's Second Amended Complaint	
68.	July 2, 2012	3:09-cv- 0724	Janvey v. Alguire et al.	825	Receiver's Motion to Strike and to Dismiss Counter- Claim of Defendant Robert J. Bruno to Receiver's Second Amended Complaint	

69.	September 26, 2012	3:09-cv- 0724	Janvey v. Alguire et al.	865	Motion for Leave to File First Amended Answer to Receiver's Second Amended Complaint Against Former Stanford Employees and Counterclaim filed by John D. Orcutt	
70.	March 9, 2011	3:10-cv- 1973	Janvey v. Dillon Gage Inc. of Dallas et al.	14	Receiver's Motion to Strike and to Dismiss Third-Party Claims and Counterclaims filed by Dillon Gage, Inc. of Dallas	
71.	February 21, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	30	Greenberg Traurig's Motion to Join Antiguan Liquidators	
72.	April 15, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	51	Hunton & Williams, LLP's Motion to Join the Antiguan Liquidators or, in the Alternative, to Dismiss	
73.	May 10, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	55	Yolanda Suarez's Motion to Join the Antiguan Liquidators or, in the Alternative, to Dismiss	
74.	June 27, 2013	3:12-cv- 4641	OSIC v. Greenberg Traurig, LLP et al.	61	Amended Motion to Strike and Dismiss Counterclaim and Third-Party Complaint	
75.	May 21, 2013	3:10-cv- 0366	Janvey v. Venger et al.	358	Sealed Motion to Appoint International Process Server	Case currently on appeal.
76.	July 26, 2013	3:10-cv- 0366	Janvey v. Venger et al.	377	Seymour Defendants' Motion Set Aside Default	Case currently on appeal.
77.	June 11, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	22	Robert S. Winter's Motion to Stay	
78.	September 13, 2013	3:13-cv- 0775	Janvey v. Alvarado et al.	67	Defendant Robert Glen Rigby's Rule 11 Motion to Strike	

80.	July 30, 2013 July 26, 2013	3:09-cv- 0298 3:13-cv- 0477	SEC v. Stanford International Bank, Ltd, et al. Janvey v. Proskauer Rose, LLP et al.	33	The Official Stanford Investors Committee's Motion for Approval of First Interim Fee Application of Padgett Stratemann Joint Motion to Substitute Counsel	
81.	July 26, 2013	3:12-cv- 0644	Janvey v. Proskauer Rose, LLP et al.	66	Joint Motion to Substitute Counsel	
		Other I	Pending Motions Ref	erred to M	<u> Iagistrate Judge Frost</u>	
	Date Filed	Case No.	Case Name	<u>Doc.</u> <u>No.</u>	<u>Motion</u>	
82.	August 10, 2012	3:11-cv- 1177	Janvey v. Libyan Investment Authority et al.	89	Receiver's Second Motion to Compel	
83.	September 7, 2012	3:11-cv- 1177	Janvey v. Libyan Investment Authority et al.	96	Defendants' Cross-Motion for a Protective Order	
84.	February 6, 2013	3:11-cv- 1177	Janvey v. Libyan Investment Authority et al.	145	Receiver's Motion to Compel Depositions	
85.	February 22, 2013	3:11-cv- 1177	Janvey v. Libyan Investment Authority et al.	151	Defendants' Motion to Strike Declaration of James C. Spindler	
86.	June 27, 2013	3:11-cv- 0117	Janvey et al. v. IMG Worldwide, Inc.	42	Defendants' Motion to Stay Discovery	
87.	July 15, 2013	3:11-cv- 0117	Janvey et al. v. IMG Worldwide, Inc.	44	Plaintiffs' Motion for Entry of a Scheduling Order	
88.	August 16, 2013	3:13-cv- 0477	Janvey et al. v. Proskauer Rose et al.	37	Defendants' Cross-Motion to Compel Discovery	

89.	August 16, 2013	3:12-cv- 0644	Janvey et al. v. Proskauer Rose et al.	70	Defendants' Cross-Motion to Compel Discovery
90.	September 13, 2013	3:11-cv- 0295	Janvey et al. v. ATP Tour Inc.	27	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
91.	September 16, 2013	3:11-cv- 0301	Janvey et al. v. Brown	18	OSIC's Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
92.	September 17, 2013	3:10-cv- 2583	Janvey v. Bogar et al.	23	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
93.	September 17, 2013	3:11-cv- 0226	Janvey et al. v. PGA Tour Inc.	25	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
94.	September 17, 2013	3:11-cv- 0299	Janvey et al. v. Castaneda	28	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
95.	September 17, 2013	3:11-cv- 0770	Janvey et al. v. Rocketball, Ltd. et al.	48	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
96.	September 26, 2013	3:11-cv- 0158	Janvey et al. v. Miami Heat Limited Partnership et al.	29	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order
97.	October 10, 2013	3:11-cv- 0760	Janvey v. Insideout Sports & Entertainment	38	Plaintiffs' Motion to Compel Rule 26(f) Conference and for Entry of a Scheduling Order

98.	November 11, 2013	3:11-cv- 0298	The Official Stanford Investors Committee v. Cort & Cort et al.	52	OSIC's Motion to Compel Jurisdictional Discovery	
99.	November 19, 2013	3:11-cv- 0294	Janvey et al. v. The Golf Channel, Inc.	95	Motion for Attorneys' Fees Filed by Defendants TGC LLC and The Golf Channel, Inc.	Case currently on appeal.

Dated: January 15, 2014 Respectfully submitted,

BAKER BOTTS L.L.P.

By: /s/ Kevin M. Sadler

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COURT-APPOINTED EXAMINER

CERTIFICATE OF SERVICE

On January 15, 2014, I electronically submitted the foregoing document with the clerk of the court of the U.S. District Court, Northern District of Texas, using the electronic case filing system of the court. I hereby certify that I have served the Court-appointed Examiner, all counsel and/or pro se parties of record electronically or by another manner authorized by Federal Rule of Civil Procedure 5(b)(2).

/s/ Kevin M. Sadler
Kevin M. Sadler